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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	32576 (LD11388, LD11388, LD11388, LD11388)
First Inventor or Application Identifier	Yutao Zhou
Title	Reflector Lamps
Express Mail Label No.	EL595432912US

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents.**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 18]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
4. Oath or Declaration [Total Pages 3]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ \* Small Entity Statement filed in prior application, Status still proper and desired  
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☒ Other: check for \$786.00; Title Page -a-

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

**16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:**☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**17. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label 000,116 or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

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Signature	<i>John P. Murtaugh</i>	Date	Nov. 9, 2000

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See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 786.00

## Complete if Known

Application Number  
Filing Date  
First Named Inventor Yutao Zhou  
Examiner Name  
Group / Art Unit  
Attorney Docket No. LD11288, LD11388, 32576 (LD11389, LD11422)

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	710
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 710

### 2. EXTRA CLAIM FEES

Total Claims 22 -20\*\* = 2 x Fee from below 18 = Fee Paid 36  
Independent Claims 3 -3\*\* = 0 x Fee from below 80 = Fee Paid 0  
Multiple Dependent n/a = 0

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 78	202 39	Independent claims in excess of 3	
104 260	204 130	Multiple dependent claim, if not paid	
109 78	209 39	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 746

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify)			
Other fee (specify)			

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40

## SUBMITTED BY

Name (Print/Type) John P. Murtaugh Registration No. 34226 Telephone 216-579-1700  
Signature [Signature] (Attorney/Agent) Date Nov. 9, 2000

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LD11288, LD11388, LD11389, LD11422

APPLICATION FOR UNITED STATES PATENT

RELECTOR LAMPS

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Tianji Zhao  
Rajasingh Israel  
Ashfaq Chowdhury

November 9, 2000

## REFLECTOR LAMPS

## BACKGROUND OF THE INVENTION

This invention relates to reflector lamps. More particularly, it relates to parabolic aluminized reflector lamps.

Parabolic aluminized reflector (PAR) lamps are known in the lighting art. Ideally, a PAR lamp comprises a completely parabolic-shaped glass shell, which is coated with a reflective aluminum substance to form a parabolic reflector. A wire lamp is disposed within the glass shell.

A major drawback of this type of lamp is lumen efficiency. A primary reason for the lack of efficiency is that the lamps are not completely parabolic in shape. Instead, the base of the lamp, referred to herein as the "nose chamber" and located at the low point of the parabola, is either completely open or is closed but contains a number of openings. The openings provide portals for connecting electrical leads to the wire lamp, and for an exhaust tube for sealed lamps. Consequently, a significant portion of the base of the parabolic reflector is absent in traditional PAR lamps, thus greatly reducing their efficiency. Because the reflective surface is not a full parabola, some light is either lost in the nose chamber or must be reflected multiple times before it can exit the lamp. Such light loss and multiple reflectivity greatly reduce the efficiency of the lamp.

Thus, it is desired to improve the efficiency of PAR lamps by maximizing the reflective surface of the lamp. It is also desired to improve the efficiency of PAR lamps by modifying the base of the lamp to more completely approximate a parabola, and to minimize the cross-sectional area of the nose portion of the base.

It is also desired to increase the life of PAR lamps by reducing the temperature in the nose chamber.

Finally, it is desired to reduce the risk of short

circuit due to contact between the metal leads of the wire lamp and the aluminum reflective coating.

#### SUMMARY OF THE INVENTION

5 A first embodiment of a reflector lamp is provided comprising a glass shell that has a concave inner surface, an outer surface, and an opening through the base of the glass shell forming a nose portion thereof. The reflector lamp also comprises a reflective coating on the concave  
10 inner surface, a wire lamp within the shell, and a heat shield in the mouth of the opening in the base of the glass shell, substantially completing the shape of the concave inner surface.

A second embodiment of a reflector lamp is provided  
15 comprising a base, a wire lamp, and a glass shell that has a concave inner surface, an outer surface, and a reflective coating on the inner surface. The glass shell further comprises a bottom having an opening therein, which opening forms the top of a slot disposed within the  
20 base. The slot has a major diameter and a minor diameter such that the major diameter is substantially longer than the minor diameter. The wire lamp is disposed within the glass shell, and extends into the slot.

A third  
embodiment of a reflector lamp is provided comprising a  
25 glass shell, a wire lamp, and a flange, wherein the glass shell has a concave inner surface, an outer surface, and a reflective coating disposed on the inner surface. A wire lamp is disposed within the glass shell. The flange extends from the outer surface of the glass shell and  
30 defines a perimeter of a chamber. An extension of the glass shell extends over the chamber defined by the flange. The extension of the glass shell has an inner surface coated with the reflective coating, and an opening therethrough in communication with the chamber  
35 defined by the flange.

# BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a cross-sectional view of a prior art parabolic reflector lamp.

Fig. 2 is a cross-sectional view of a first embodiment of a parabolic reflector lamp of the present invention taken along line 2-2 of Fig. 3.

Fig. 3 is a top view of the lamp of Fig. 2.

Fig. 4 is a cross-sectional view of a prior art parabolic reflector lamp taken along 4-4 of Fig. 5, including a wire lamp.

Fig. 5 is a top view of the parabolic reflector lamp of Fig. 4, but not including a wire lamp.

Fig. 6 is a cross-sectional view of a second embodiment of a parabolic reflector lamp of the present invention, including a wire lamp, taken along line 6-6 of Fig. 8.

Fig. 7 is a cross-sectional view of the lamp of Fig. 6, taken along line 7-7 of Fig. 8.

Fig. 8 is a top view of the lamp of Fig. 6, but not including a wire lamp.

Fig. 9 is a bottom view of the lamp of Fig. 6.

Fig. 10 is an exploded perspective view of a third embodiment of a parabolic reflector lamp of the present invention.

Fig. 11 is a cross-sectional view of a glass shell of the lamp of Fig. 10 taken along line 11-11 of Fig. 12, including a wire lamp.

Fig. 12 is a top view of the glass shell of Fig. 10, not including a wire lamp.

Fig. 13 is a bottom view of the glass shell of Fig. 10.

Fig. 14 is a cross-sectional view of the glass cup of Fig. 10, taken along line 14-14 of Fig. 15.

Fig. 15 is a top view of the glass cup of Fig. 10.

Fig. 16 is a cross-sectional view of a preferred embodiment of the parabolic reflector lamp according to the present invention.

Fig. 17 is a top view of a parabolic reflector lamp having three holes through a base thereof, with one of the three holes offset from center to accommodate minimizing the diameter of the base according to a preferred embodiment of the present invention.

#### 10 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

In the description that follows, when a preferred range, such as 5 to 25 (or 5-25) is given, this means preferably at least 5, and separately and independently, preferably not more than 25.

15 "Lumen efficiency" as used herein means the ratio of lumen output from a PAR lamp to the total lumens generated by the wire lamp within the PAR lamp. Simply, it is the ratio of lumen output to total generated lumens.

Fig. 1 shows a traditional PAR lamp 10 comprising a substantially parabolic glass shell 12 having an inner surface 13 with a reflective coating 14 disposed thereon, an outer surface 15, a wire lamp 36 which is well known in the art, and a heat shield 18. The reflective coating 14 typically comprises aluminum, though the reflective coating 25 14 can also comprise silver, gold, white gold, chromium or any other suitable reflective material. The glass shell 12 has an opening at its bottom to which is attached or formed a base 86 which defines a nose chamber 22. The electrical leads 70, 72 to the wire lamp 36 are shown in Figs. 1 and 30 2. The nose chamber 22 has a mouth 26 located adjacent the base of the parabola. The heat shield 18 prevents heat from radiating from the wire lamp 36 to the nose chamber 22. Without the heat shield 18, the nose chamber 22 is exposed to higher temperatures within, thereby reducing the 35 functional life of the lamp 10.

The heat shield 18 comprises any material sufficiently reflective of both of infrared (IR) radiation (to minimize radiant heating of the nose chamber 22), and visible light (to improve the efficiency of the lamp 10); e.g. stainless steel, or, more preferably, a silicon-coated silver layer deposited on a disk substrate. In the traditional lamp arrangement shown in Fig. 1 the heat shield 18 is located immediately below the light-emitting portion of the wire lamp 36. The efficiency of the lamp 10 is low with the heat shield 18 in this position because a large portion of light emitted from the wire lamp 36 is reflected off the lower-reflectivity heat shield and this portion of light bounces more than once before leaving the PAR lamp, as illustrated in Fig. 1. Each reflection results in approximately a 15% loss in lumens. Moving the heat shield 18 to a position where it substantially completes the parabola significantly reduces multiple reflectivity as shown in Fig. 2, and reduces the amount of light hitting the heat shield. As multiple reflections are eliminated, the overall efficiency of the lamp 10 is increased.

In the embodiment of the invention shown in Figs. 2 and 3, the efficiency of the lamp 10 is increased by changing the location of the heat shield 18 so that it is substantially within or adjacent the nose chamber 22. The heat shield 18 is moved from its position immediately adjacent the bulb 37 of wire lamp 36 to a position where it rests preferably even with the mouth 26 of the nose chamber 22. In its new position, the heat shield 18 "fills in" the mouth 26 of the nose chamber 22, substantially completing the parabolic reflector. The top surface 85 of the heat shield 18 preferably forms a continuation or substantial continuation of the top or inner surface 80 of reflective coating 14. In addition to maximizing the optical efficiency of the lamp, placing the heat shield within mouth 26, immediately adjacent to 81, 82, minimizes heating



of the nose chamber, and is thus the optimum position for thermal function of the heat shield.

As will be understood by one skilled in the art, PAR lamps of the sort contemplated in the present invention normally operate in an inverted position; that is, with the open end of the parabolic reflector facing downward from a ceiling toward a floor below, and the nose portion screwed into a light fixture contained in the ceiling via a threaded connection as is well known in the art. (See Fig. 16).

During operation, the hottest part of the lamp is the bulb portion 37 of the wire lamp 36. As air adjacent the bulb portion 37 is heated, its density is decreased. This low-density heated air rises through the surrounding cooler air of lower density, and impacts the heat shield 18. With the heat shield in its most preferred position, in the mouth 26 of the nose chamber 22 such that its top surface 85 substantially completes the parabola, the hot air flows naturally along the curvature of the parabola following a circular convective path 38 as shown in Fig. 16. In this manner, heated air is prevented from entering the nose chamber in the most efficient manner possible.

With the heat shield in its optimum position as described above, the area of the open annulus 39 between the edge of the heat shield and the edges 81, 82 of coating 14 (also the edges of mouth 26) is minimized. Preferably, the heat shield has a diameter such that the width of the open annulus 39 is no greater than 2, preferably 1.5, preferably 1, preferably 0.9, preferably 0.8, preferably 0.7, preferably 0.6, preferably 0.5, mm.

Less preferably, the heat shield 18 can be placed slightly above or slightly below its optimum position, for example, within 5, preferably 4, preferably 3, preferably 2, preferably 1.5, preferably 1, mm above or below mouth 26. The heat shield 18 may, for example, may be placed in the cylinder having a top at 81, 82 and a bottom at 83 (the

cylinder thus having a height substantially equal to the thickness of the coating 14 and glass shell 12 combined). In this case, it is preferred that the heat shield 18 is placed in the top half of the cylinder just defined, that is, in the cylinder having a top at 81, 82 and a bottom at 84, which is approximately the midpoint of the thickness of the glass shell 12. Less preferably, the heat shield 18 can be placed slightly beneath the shell 12, that is, below location 83. Less preferably, the heat shield can be placed slightly above (within 1 or 2 mm above) the coating 14. However, it should be noted that, in placing the heat shield in one of these less preferred positions, both thermal and optical efficiency of the heat shield decrease.

The negative optical effects have already been discussed.

By locating the heat shield in a recessed position within the nose chamber 22, a cavity is created between the edges 81, 82 (refer to Fig. 1) and the heat shield within which air cannot circulate. This dead space creates a pocket of stagnant hot air, thus significantly increasing the temperature of the nose portion, thereby defeating the function of the heat shield. Conversely, by placing the heat shield above the edges 81, 82, the space between the heat shield 18 and the edges 81, 82 is increased, thus providing a larger portal through which hot air may be convected into the nose chamber 22, again defeating the function of the heat shield.

Optionally, the heat shield 18 can be provided in a concave curved-shape to more closely approximate the parabolic shape of the reflective coating 14. It should be noted that when in its optimum position, the heat shield 18 has a slightly smaller diameter than the mouth 26 of the nose chamber 22 so as not to contact the reflective coating 14, thereby increasing the risk of short-circuiting the electrical leads 70, 72. By moving the heat shield 18 to the mouth 26 of the nose chamber 22, the overall efficiency of the lamp 10 is increased from approximately 70% to 80%.

In its optimum position adjacent edges 81, 82, the heat shield 18 further serves its primary function of reducing the temperature of the nose chamber 22 because the IR-reflecting material of the heat shield reflects the IR radiation out of the lamp, away from the nose chamber 22. Thus, the IR radiation does not enter the nose chamber 22 and, in turn, the temperature in the nose chamber 22 is reduced leading to longer lamp life.

In a second preferred embodiment of the invention, the efficiency of the lamp is increased by a new shape of the glass shell 12 onto which is deposited the reflective coating 14. A second type of traditional PAR lamp is illustrated in Figs. 4 and 5, wherein a nose chamber 34 comprises a secondary parabola 30 and a closed circular base 28 having holes or openings 52, 54, for an exhaust tube (not shown) and ferrules (not shown) that provide conduits for connecting the electrical leads 70, 72 from the wire lamp 36 to a screw base (not shown). As can be seen in Fig. 4, the secondary parabola 30 of this second type of traditional PAR lamp subtends the primary parabolic reflector, and together with it forms a substantially conically shaped reflector about the filament of the wire lamp 36. Light incident to the secondary parabola 30 near the base thereof is either absorbed by the interior surface of the nose chamber 34, or is multiply reflected prior to being directed toward the opening of the lamp 10. Furthermore, some second-reflected light will be blocked from exiting the lamp 10 by the wire lamp 36.

To solve this problem, the shape of the nose chamber 34 is modified according to a second preferred embodiment of the present invention wherein the relatively wide circular opening of the nose chamber 34 is reduced to a relatively narrow slot or opening 40 as illustrated in Figs. 6-8, eliminating the secondary parabola 30. The slot has a major diameter and a minor diameter, wherein the major diameter is 1.5, preferably 2, preferably 3,

preferably 4, preferably 5, (though typically 4), times longer than the minor diameter thereof. The minor diameter of the slot 40 is only wide enough to accommodate the wire lamp 36 and electrical leads 70, 72, and has at its base a plurality of openings 52, 54 to accommodate ferrules (not shown) through which the electrical leads 70, 72 pass, and an exhaust tube (also not shown). Preferably three openings 52, 54 are provided, less preferably one, two, or more than three openings, which extend through the inner surface 13 and the outer surface 15 at the bottom of the slot 40. The slot 40 can be any shape that will accommodate the wire lamp 36 and electrical leads 70, 72. Preferably, the slot 40 is substantially rectangular or, if fabricating a rectangle is costly, the corners can be rounded so the slot 40 has a substantially elliptical shape when viewed from above. By narrowing the nose chamber 34, the lamp more closely approximates the desired parabolic shape, and the efficiency of the lamp is increased while leaving sufficient area to accommodate openings 52, 54.

However, narrowing the nose chamber 34 without changing the shape of the exterior of the base 32 leads to a high volume of glass in the base 32 of the lamp 10. When the glass for the lamp 10 is shaped and cooled, it is important that the glass throughout the lamp cools at the same rate. When portions of the glass cool at different rates, the glass can deform and lose its shape. Increased glass volume leads to an uneven cooling rate at the base 32, and thus, the base 32 deforms upon cooling.

This problem is solved by eliminating the excess glass in the outer portion of the base 32. Specifically, the shape of the outside of the base 32 is modified according to the present invention from circular to substantially cross-shaped. The base 32 need not be perfectly cross-shaped as shown in Fig. 9. The corners of the cross may be rounded for ease of fabrication. The cross-shape eliminates excess glass volume in the base 32 that

otherwise would contribute to uneven cooling during the forming process.

Compared to the lamp design of the prior art (as illustrated in Figs. 4 and 5), a lamp 10 of the present invention (as illustrated in Figs. 6-9) has a much narrower opening at the parabolic reflector for a lamp of the same size. It should be noted that the exact dimensions of the slot 40 will depend on the size of the lamp 10.

Optionally, the relatively large diameters of both the nose chamber 34 and closed circular base 28 thereof (typically about 1.1 inches) as seen in Fig. 5 can be narrowed in the following manner. In traditional PAR lamps as illustrated in Figs. 4-5, a wide base 28 was necessary to accommodate openings 52, 54 for electrical leads 70, 72 and an exhaust tube 58 as explained above. However, the diameter of the nose chamber 34 and base 28 of the PAR lamp may be reduced by moving opening 54 from its central position as shown in Fig. 5 to a new offset position as shown in Fig. 17. In this embodiment, the opening 54 preferably is positioned offset from center such that the diameter of the nose chamber 34 (and base 28) is no greater than 1, preferably 0.95, preferably 0.90, preferably 0.85, preferably 0.82, inches. The opening 54 is preferably offset from center of base 28 such that the distance from the center of 54 to the center of 52 is no less than 6, more preferably 7, more preferably 8, more preferably 9, more preferably 10, more preferably 11, mm. It is believed that by reducing the diameter of the nose chamber 34 and base 28 in this manner, lumen efficiency can be improved from about 70%, typical of the prior art, to approximately 80%.

In a third embodiment of the invention, the efficiency of the lamp 10 is increased by making the shape of the glass shell 12 more closely approximate a parabola. In this embodiment, glass shell 12 is formed as two pieces

instead of a single piece. As discussed above in conjunction with a previous embodiment, in traditional PAR lamps (as illustrated in Figs. 4 and 5) the base 32 contains a nose chamber 34 having holes 52, 54 at its base to accommodate an exhaust tube and ferrules. This configuration results in inefficiency because the nose chamber 34 subtends to a substantially linear acute angle about the filament of the wire lamp 36. Light incident to the nose chamber 34 is either absorbed by the interior surface thereof, or requires multiple reflections before being directed toward the opening of the reflector. Furthermore, some second-reflected light will be blocked by the wire lamp 36.

To alleviate this problem in the present embodiment (shown in Figs. 10-15), a plurality of holes or openings 52, 54, preferably three openings 52, 54, less preferably one, two, or more than three openings, (to accommodate ferrules 56 and exhaust tube 58) are disposed in the base 76 of a glass cup 60. The glass cup has a perimeter wall 78 attached to and extending upward from the base 76, which, when the lamp 10 is fully assembled, is permanently attached to a flange 62 formed integrally with and extending downward from the base 64 of the lamp 10, defining a perimeter of a chamber 74. Preferably, the cup 60 and flange 62 are of equivalent diameter such that the top edge of perimeter wall 78 engages the bottom edge of flange 62 in the final assembled position. Less preferably, the cup 60 is sized such that its perimeter wall 78 slides into the chamber 74 defined by flange 62 in the final assembled position. Preferably, the glass cup 60 and flange 62 both have circular cross-sections, though any suitable shape may be used.

The perimeter wall 78 of the glass cup 60 is attached to the flange 62 by any means known in the art. Suitable

means include fusing, clamping and the use of o-rings. Preferably, the glass cup 60 is connected to the flange 62 by fritting, wherein frit glass is applied to the flange 62, or alternatively, to the glass cup 60, and the frit glass is heated slightly above its melting temperature (which is less than that of the glass used to make the glass cup 60 and flange 62) with both components in their final assembled position. The frit glass is allowed to cool, wherein it solidifies, thus joining the flange 62 and glass cup 60.

As illustrated in Figs. 10-13, the base 64 now has only a small key-shaped hole or opening 66 that is large enough to allow the wire lamp 36 and one of its electrical leads 70 to pass through. The second lead 72 does not pass through the key-shaped hole 66. Because the base 76 of the glass cup 60 does not have a reflective coating, the chance of a short-circuit resulting from both electrical leads 70, 72 contacting a metallic reflective coating is reduced.

The key-shaped hole or opening 66 may be of any shape that minimizes the size of the opening, yet is large enough for a wire lamp 36 and electrical lead 70 to pass through.

Preferably, the opening 66 is key-shaped, i.e. having a substantially circular portion 67 with a substantially rectangular portion 69 extending therefrom (as best shown in Fig. 12). In this manner, the parabolic reflector has the maximum possible surface area while still providing an opening to accommodate the wire lamp 36 and electrical lead 70. This design is particularly effective because the interior reflective surface of the parabolic reflector has an extension or extension flange or overhang portion 87 that overhangs the chamber 74 defined by flange 62 as best seen in Fig. 11. Also, as shown in Figs. 10 and 11, the extension 87 has an opening therethrough in fluid communication with the chamber 74 to accommodate the wire lamp 36 and electrical lead 70. This represents a significant improvement in reflective surface area over the

prior art as illustrated in Fig. 4, because at least 20, preferably 30, preferably 40, preferably 50, preferably 60, preferably 70, preferably 80, preferably 90, percent of the open space over the nose chamber 34 of the prior art has  
5 been replaced in the present embodiment by additional parabolic reflective surface on the extension or overhang portion 87.

The base 76 of the glass cup 60 has a plurality of holes 52 and 54, typically three holes, extending  
10 therethrough. Ferrules 56 are disposed within the holes 52 such that the ferrules 56 provide sealed contact means for connecting the electrical leads 70 and 72 of the wire lamp 36 to the screw base. An exhaust tube 58 is fused to a hole 54 in the base 76 of the glass cup 60. In this  
15 manner, the wire lamp 36 may be evacuated, filled with inert gas, and the exhaust tube sealed by "pinching" the end as is known in the art once the glass cup 60 has been attached to the flange 62.

While the invention has been described with reference  
20 to a preferred embodiment, it will be understood by those skilled in the art that various changes may be made and equivalents may be substituted for elements thereof without departing from the scope of the invention. In addition, many modifications may be made to adapt a particular  
25 situation or material to the teachings of the invention without departing from the essential scope thereof. Therefore, it is intended that the invention not be limited to the particular embodiment disclosed as the best mode contemplated for carrying out this invention, but that the  
30 invention will include all embodiments falling within the scope of the appended claims.



WHAT IS CLAIMED IS:

1. A reflector lamp comprising a glass shell, a base, a wire lamp, and a heat shield, said wire lamp being disposed within said glass shell, said glass shell having a concave inner surface and an outer surface, said  
5 glass shell having a thickness, said glass shell having a reflective coating disposed on said inner surface, said glass shell having a bottom, said glass shell having an opening at said bottom, said base extending from said bottom of said glass shell, said opening and said base  
10 defining a nose chamber, said heat shield being disposed substantially within or adjacent said nose chamber.

2. A reflector lamp according to claim 1, wherein said concave inner surface is substantially parabolic.

3. A reflector lamp according to claim 2, wherein said heat shield is positioned so as to substantially complete said parabolic inner surface.

4. A reflector lamp according to claim 1, wherein said heat shield is a concave curved-shape.

5. A reflector lamp according to claim 1, wherein said heat shield comprises stainless steel.

6. A reflector lamp according to claim 1, wherein said heat shield comprises a substrate and a reflective coating disposed on said substrate.

7. A reflector lamp according to claim 1, wherein said nose chamber has a plurality of holes disposed in a base thereof.

8. A reflector lamp according to claim 7, wherein

the number of said holes is three.

9. A reflector lamp according to claim 8, wherein two of said holes are adapted for the passage of ferrules therethrough, and one of said holes is adapted for the passage of an exhaust tube therethrough.

10. A reflector lamp according to claim 9, wherein said hole adapted for passage therethrough of said exhaust tube is substantially offset from the center of said base of said nose chamber, said nose chamber having  
5 a diameter of less than 1 inch.

11. A reflector lamp according to claim 6, wherein said reflective coating on said substrate comprises at least one metal selected from the group consisting of silver, gold, white gold, aluminum, and chromium.

12. A reflector lamp according to claim 6, wherein said substrate comprises stainless steel.

13. A reflector lamp according to claim 3, wherein said heat shield is positioned above said opening at said bottom of said glass shell within 4 mm thereof.

14. A reflector lamp according to claim 3, wherein said heat shield is positioned below said opening at said bottom of said glass shell within 4 mm thereof.

15. A reflector lamp comprising a glass shell, a base, and a wire lamp, said wire lamp being disposed within said glass shell, said glass shell having a concave inner surface and an outer surface, said glass  
5 shell having a reflective coating disposed on said inner surface, said glass shell having a bottom, said glass shell having an opening at said bottom forming the top of

a slot in said base, said opening having a major diameter and a minor diameter, said major diameter being  
10 substantially longer than said minor diameter, said wire lamp extending into said slot.

16. A reflector lamp according to claim 15, wherein said slot has three openings in a base thereof.

17. A reflector lamp as in claim 15, wherein said slot has a substantially rectangular cross-section.

18. A reflector lamp as in claim 15, wherein said slot has a substantially elliptical cross-section.

19. A reflector lamp according to claim 15, wherein said base of said lamp has a substantially cross-shaped cross-section.

20. A reflector lamp comprising a glass shell, a wire lamp, and a flange, said wire lamp being disposed within said glass shell, said glass shell having a concave inner surface and an outer surface, said glass  
5 shell having a reflective coating disposed on said concave inner surface, said flange extending from said outer surface of said glass shell and defining a perimeter of a chamber, an extension of said glass shell extending over said chamber, said extension having an  
10 inner surface coated with said reflective coating, said extension having an opening therethrough in communication with said chamber.

21. A reflector lamp according to claim 20, further comprising a glass cup attached to said flange extending from said outer surface of said glass shell, said glass cup comprising a base, a wall extending from said base at  
5 a perimeter thereof, and a plurality of openings

extending through said closed base providing fluid connectivity between inner and outer surfaces thereof.

22. A reflector lamp according to claim 20, wherein said flange is integrally formed as part of said glass shell.

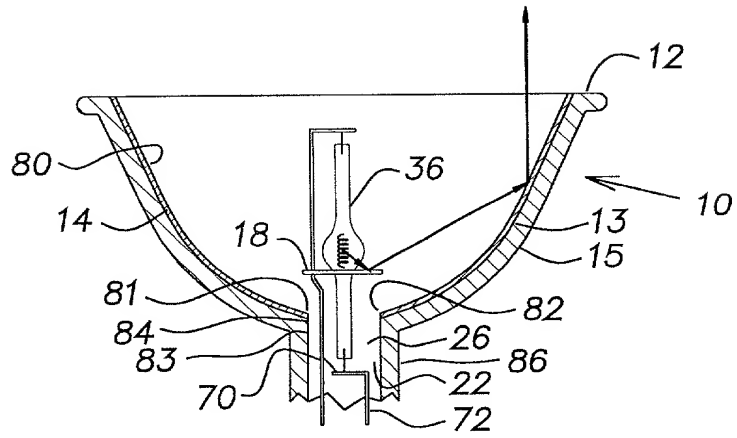
ABSTRACT OF THE DISCLOSURE

A parabolic reflector lamp is provided wherein lamp efficiency is improved by more substantially

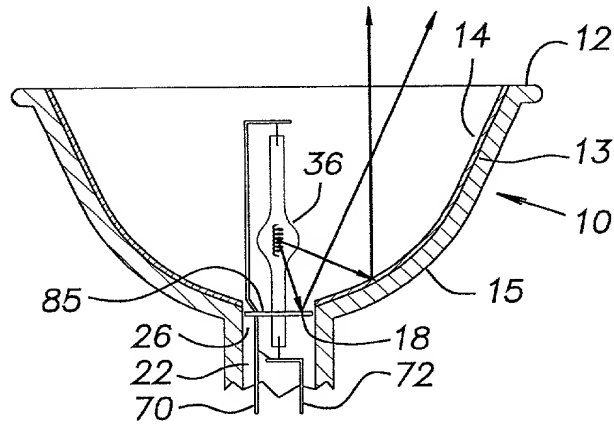
approximating the shape of a complete parabola at the  
5 inner reflective surface. In a first embodiment, the heat shield is placed at the mouth of the opening at the base of the lamp, thereby "filling in" the opening and substantially completing the parabolic shape of the reflector. In a second embodiment, the opening at the

10 base of the lamp is narrowed to minimize its cross-sectional area and maximizing reflective surface area.

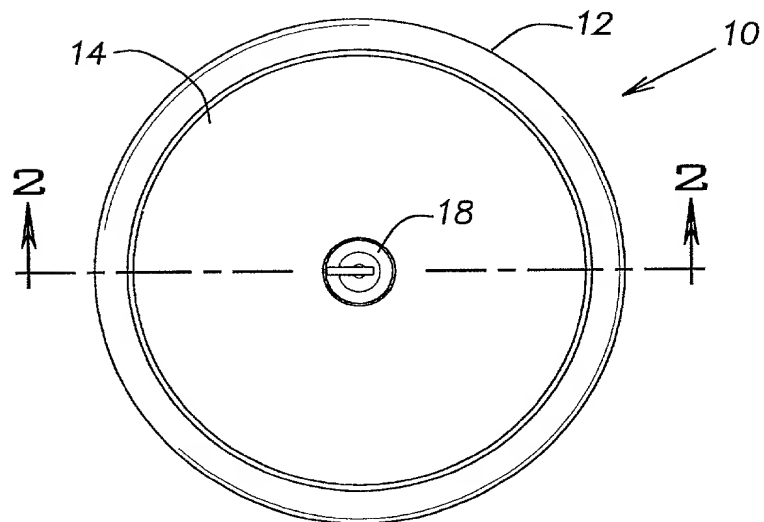
In a third embodiment, the glass shell of the lamp is provided in a two-piece configuration, allowing the size of the hole through the base of the glass shell to be  
15 reduced. The openings required to accommodate electrodes (and an exhaust tube in sealed lamps) are located in a second cup-shaped piece attached via a flange to the main body of the glass shell.



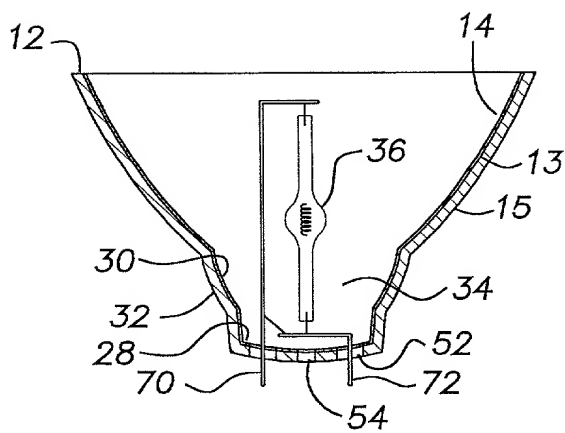
**FIG. 1**  
**PRIOR ART**



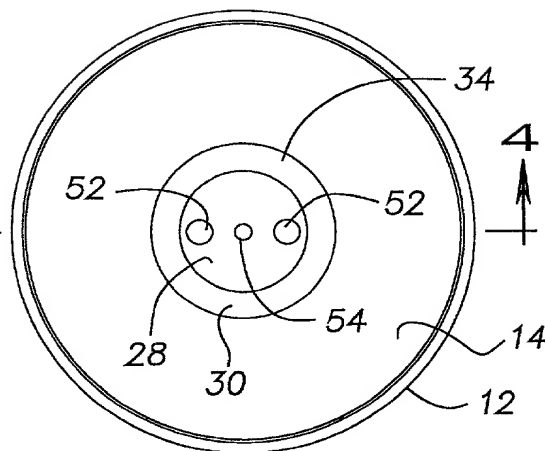
**FIG. 2**



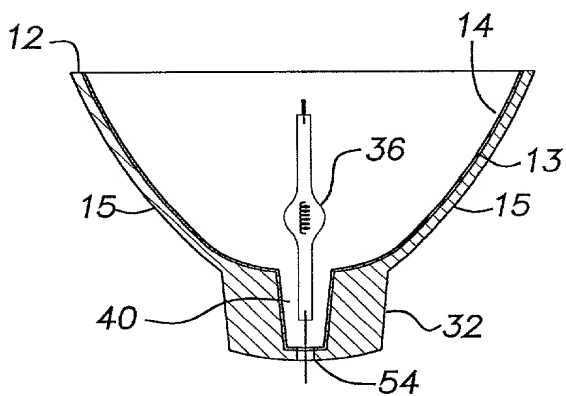
**FIG. 3**



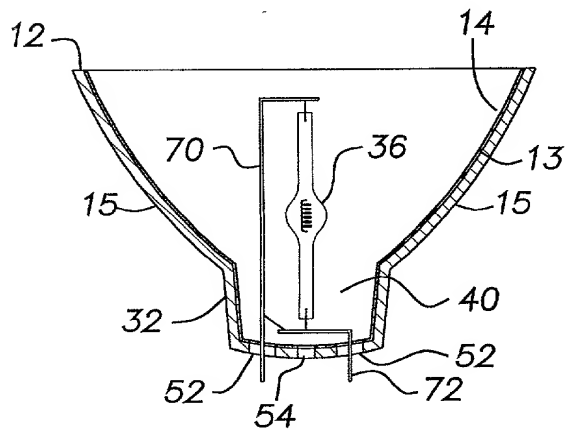
**FIG. 4**  
**PRIOR ART**



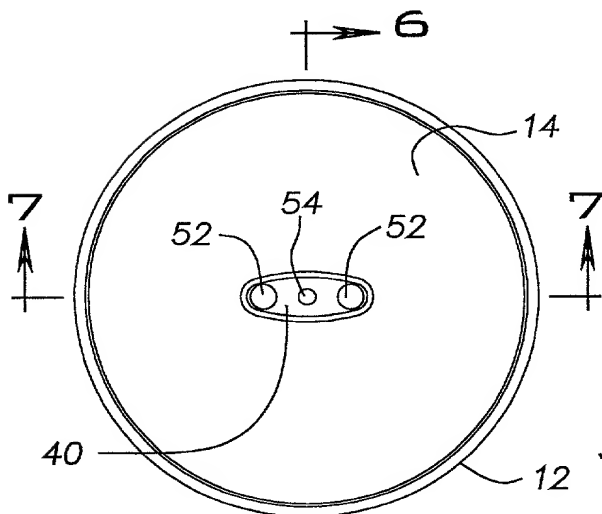
**FIG. 5**  
**PRIOR ART**



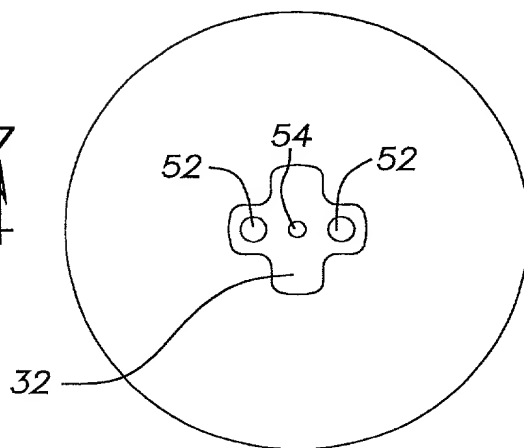
**FIG. 6**



**FIG. 7**

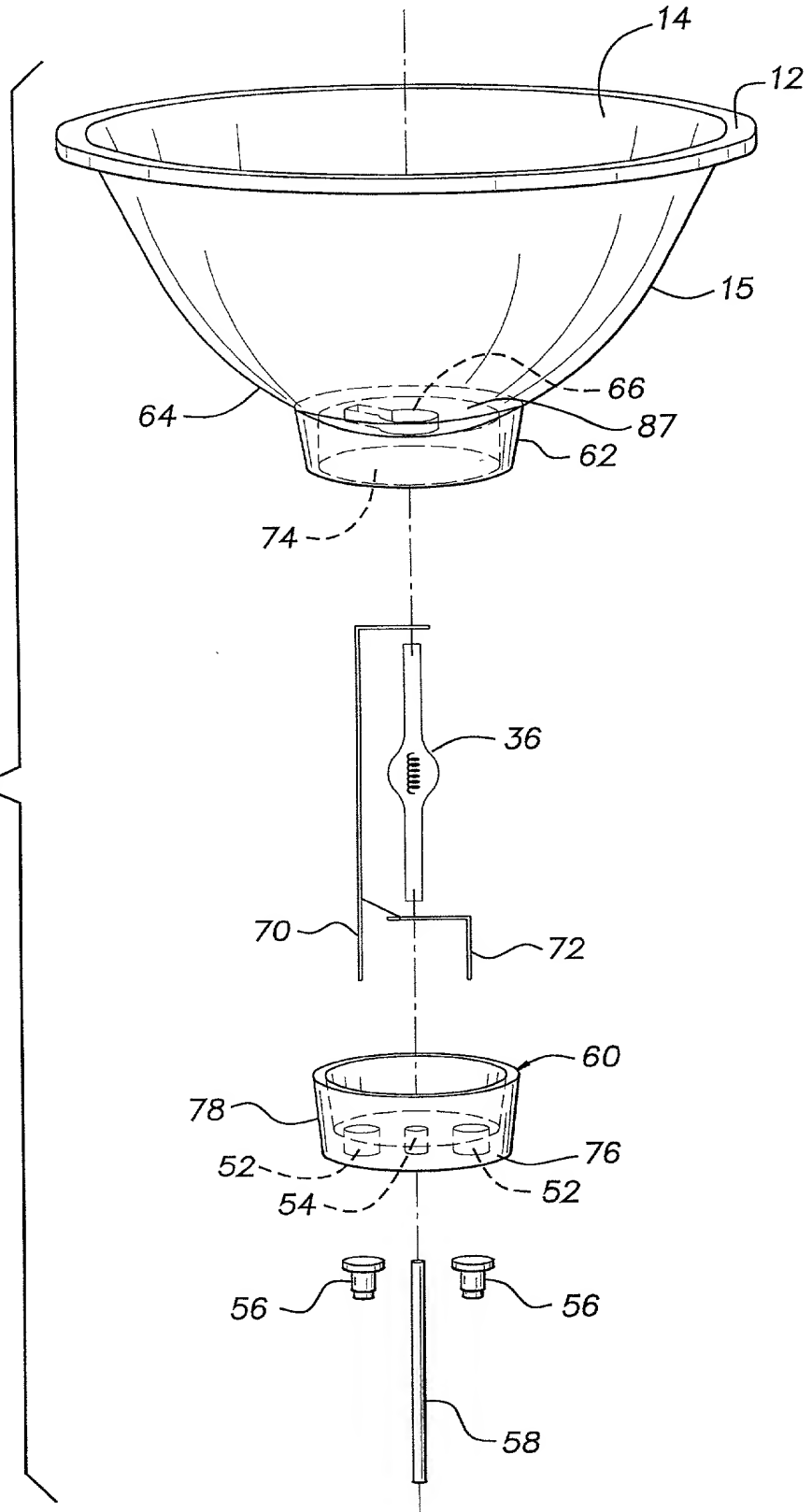


**FIG. 8**



**FIG. 9**

FIG. 10





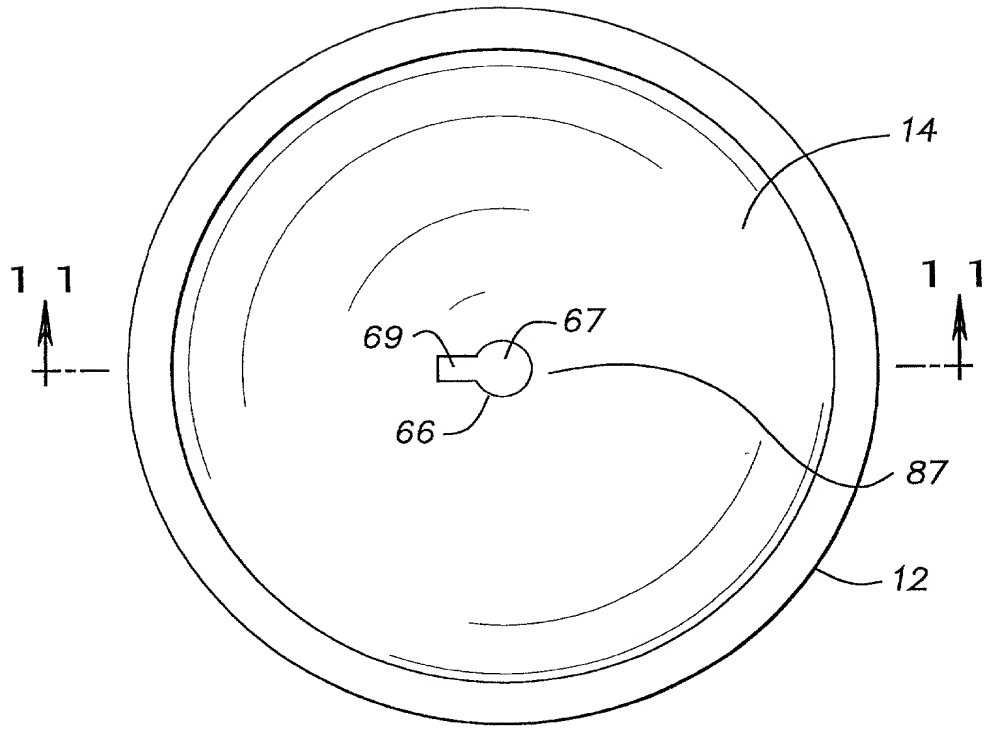


FIG. 1 2

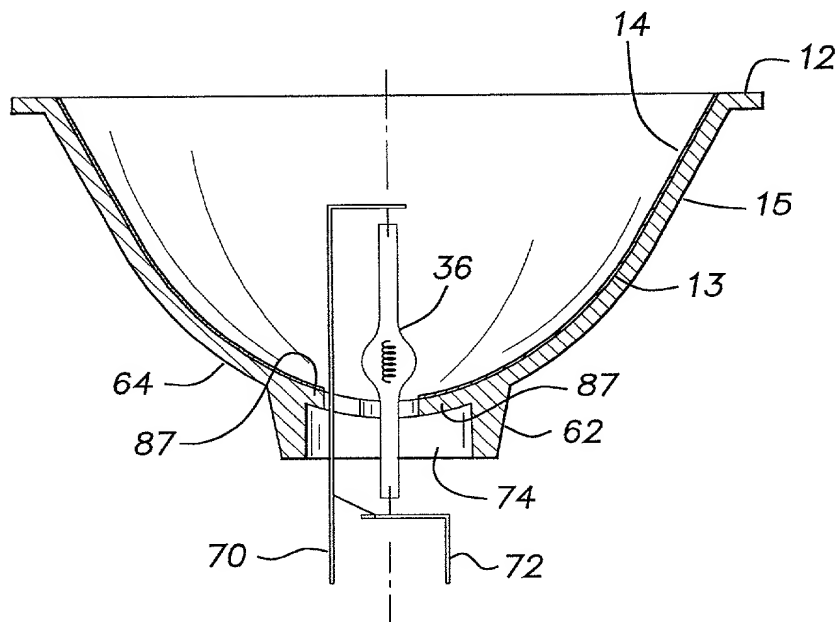


FIG. 1 1

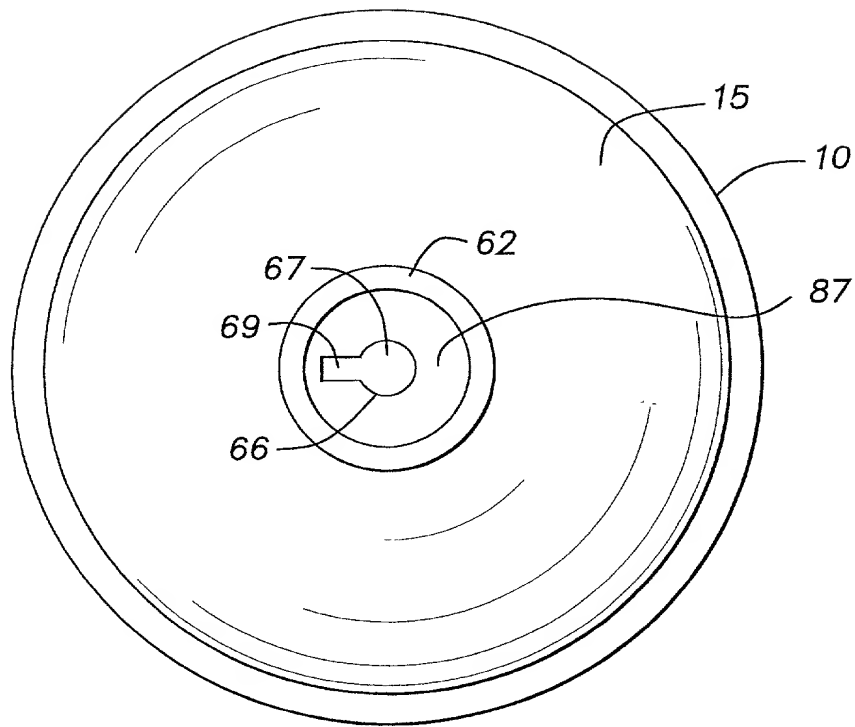


FIG. 13

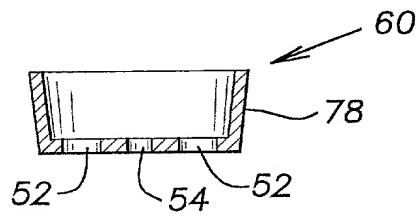


FIG. 14

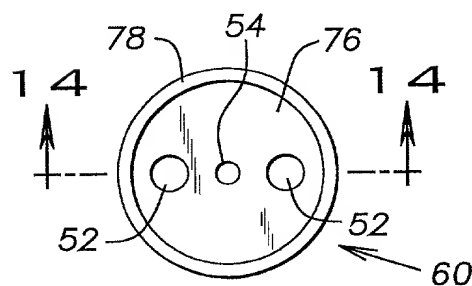


FIG. 15

FIG. 16

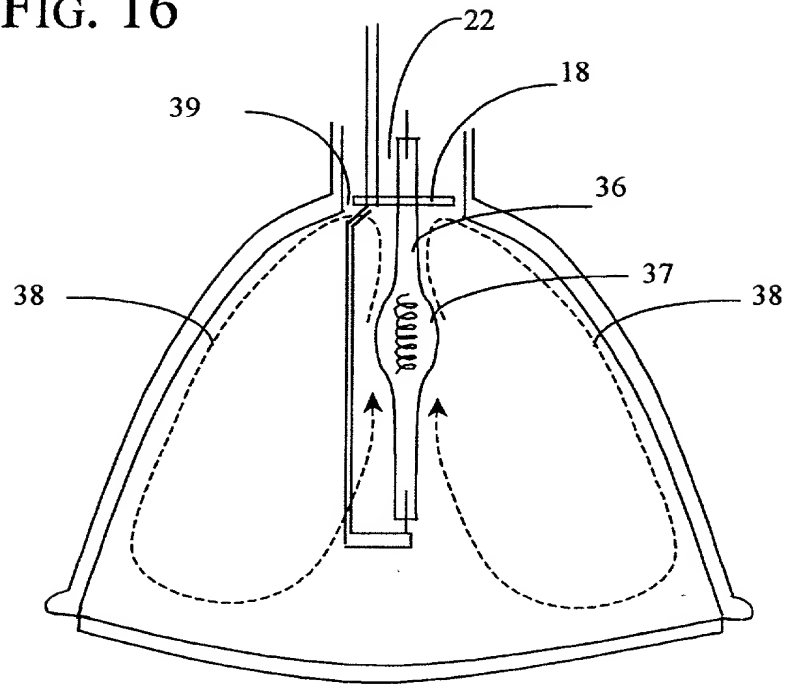
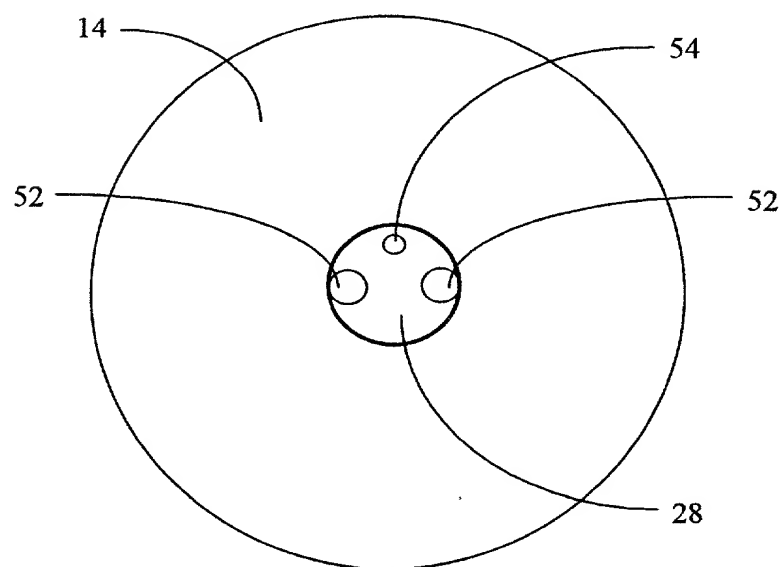


FIG. 17



# DECLARATION AND POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION

☒ Submitted with Initial Filing

☐ Submitted after Initial Filing  
(Surcharge (37 CFR 1.16(e)) required)

Attorney Docket No.: 32576

Application Number: \_\_\_\_\_

First Named Inventor: Yutao Zhou

Filing Date: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

Examiner Name: \_\_\_\_\_

**As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## REFLECTOR LAMPS

the specification of which (check only one item below)

☒ is attached hereto,

OR

☐ was filed on (MM/DD/YYYY) \_\_\_\_\_ as United States Application Number or PCT International Application Number \_\_\_\_\_ and was amended on (MM/DD/YYYY) \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

As a named inventor, I hereby appoint each of the following as my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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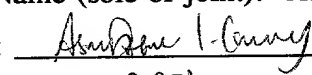
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